

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL
 (For new non-provisional applications under 37 CFR § 1.53(b))

Atty. Dkt. No:	5717-01601
Inventor(s): Yonglin Huang, Kevin Zhang and Ping Xie	
Title:	FIBER OPTICAL DEVICES WITH HIGH POWER HANDLING CAPABILITY

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CERTIFICATE OF EXPRESS MAIL  
 UNDER 37 C.F.R. §1.10  
 "Express Mail" mailing label number: EL893747775US  
 DATE OF DEPOSIT: January 9, 2002  
 I hereby certify that this paper or fee is being deposited with the  
 United States Postal Service "Express Mail Post Office to  
 Addressee" service under 37 C.F.R. §1.10 on the date indicated  
 above and is addressed to:  
 Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231  
  
 \_\_\_\_\_  
 Derrick Brown

## Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

|                           |    |      |   |            |          |
|---------------------------|----|------|---|------------|----------|
| Total Claims              | 13 | -20= | 0 | x \$18.00= |          |
| Independent Claims        | 2  | -3 = | 0 | x \$84.00= |          |
| Multiple Dependent Claims |    |      |   | Fee:       |          |
|                           |    |      |   | Basic Fee: | \$740.00 |
|                           |    |      |   | Sub-Total: | \$740.00 |
|                           |    |      |   | Total:     | \$740.00 |

- ☐ The Commissioner is hereby authorized to charge the filing fee and any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5717-01601/BNK.

**One duplicate copy of this form is enclosed.**

- ## 2. ☒ Specification

9 page(s) of specification; 3 page(s) of claims, 1 page(s) of abstract

- ### 3. Drawings

Informal Figure(s) 1-5 on 2 sheet(s)

4. ☐ Oath or Declaration

- ☐
- Newly executed

- ☐
- Copy from a prior application (see 37 C.F.R. § 1.63(d))

Deletion of Inventor(s) (in continuation or divisional applications):

- ☐ Delete the following inventor(s) named in the prior non-provisional application:

- ☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- ☐ Computer Readable copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies

8. ☐ Assignment Papers

9. Power of Attorney

- ☐ Is attached.
- ☐ The power of attorney appears in the original papers of the prior application.
- ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

10. ☐ Information Disclosure Statement (IDS)

- ☐ Copies of IDS Citations

11. Amendments

- ☐ A preliminary amendment is enclosed.
- ☐ Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.
- ☒ Amend the specification by inserting before the first line the sentence: This application claims the benefit of U.S. Provisional Application No. 60/260,990 entitled "Fiber Optical Devices with Extra High Power Handling Capcability", filed January 10, 2001.

12. ☒ Return Receipt Postcard

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13. Small Entity Status

- ☐ A small entity statement is enclosed.
- ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- ☐ Is no longer claimed.

14. ☒ Priority of provisional application number 60/260,990, filed on January 10, 2001 is claimed under 35 U.S.C. §§ 119(a)-(e)

15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time

16. ☐ Other: \_\_\_\_\_

Address all future correspondence to:

B. Noel Kivlin  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767  
Phone: (512) 476-1400 Fax: (512) 703-1250

Signature

Name

Registration No.

Date

B. Noel Kivlin

33,929

January 9, 2002